



FIRST THINGS FIRST

AGENDA ITEM: Technical Adjustments to FY13 Funding Plans and Contracts

BACKGROUND: According to the Guidance adopted by the FTF Board in its September 2010 meeting, staff has completed technical adjustments to Funding Plans for clerical errors and nomenclature adjustments to allotments and amounts from Funding Plans. These were approved by the Chief Operating Officer. In addition, one award was updated to reflect the final distribution between agency partners.

These changes have been explained so that documentation can be included with any Regional Council Funding Plans. The following narrative summarizes these types of adjustments.

Also included is a brief narrative of the rollover of unexpended funds and time extensions approved by the CEO for those grantees in strategies with new contracts for continuing services that were unable to be initiated prior to July 1, 2012 due to when RFGAs were able to be released and subsequently approved at the July or August 2012 Board meeting.

CEO RECOMMENDATION(S):

- Approval of technical adjustments to FY13 funding plans and contracts.

DETAIL:

Clerical Error Fixes –

- None to report at this time

Nomenclature Adjustments –

- Statewide Funding Plan
 - Board approved a \$700k allotment to the “general” strategy title of Communication. This allotment was initially distributed between the three strategies of Community Awareness, Community Outreach, and Media at \$187,480; \$160,520; and \$352,000 respectively. Since that initial distribution a review of FY12 actual usage has allowed for a more accurate budgeting of these FTF directed strategies and these amounts have been updated to \$425,190 for Community Awareness, \$124,750 for Community Outreach, \$150,060 for Media.
- Salt River Pima Maricopa Indian Community Council
 - As part of the approved Funding Plan the Parent Outreach & Awareness strategy, \$3,000 was included for a book purchase intended to be made by FTF and used as part of the ROR strategy. However, due to procurement limitations FTF was not able to make the purchase and instead was included as part of the larger ROR contract. In order for the system to systematically and properly report the \$3k expenditure as ROR, SRPM’s funding Plan was adjusted so the Parent Outreach & Awareness strategy was decreased by \$3k, and the Reach out and Read strategy was added to the funding plan at \$3k.

Award Adjustments –

- Healthy Families
 - As part of the Home Visitation contracts that included Healthy Families, it was noted that a part of the total award would go to the Department of Economic Security in association with their role in the oversight of this particular home visitation program. This adjustment does not impact the total allotment but simply further refines the distribution of available dollars between partners. FTF’s contract for DES was increased by \$184,757.25. While contracts with Southwest Human Development, United Way of Tucson and Southern Arizona, Child and Family Resources, and Yavapai Regional Medical Center were adjusted down their share of the total.

Rollovers –

During FY12 a large number of FTF’s contracts reached the point in their “life cycle” where no additional renewal options were available and they expired. In these instances if the strategy and its associated work was desired to be continued, a new RFGA process had to be initiated and completed by July 1, 2012 to avoid an interruption in the funding of that strategy. Unfortunately, in a number of instances timing was such that it was known final approval on a new contract would not be able to be sought until the July or Aug Board meetings.

It was determined that in these situations if a grantee currently operating under contract was expected to end the year with unexpended funds that they would be granted (if they wanted it) a one or two month extension of time in the new year on the existing contract. The total amount paid (between FY12

and the additional time in FY13) would not exceed the FY12 contract amount. The CEO's approval of these Rollovers provided the opportunity for an unnecessary gap in funding of a strategy to be avoided.

There were 24 contracts approved for Rollovers, in 9 strategies, for 12 grantees, in 14 regions.

- Central Phoenix
 - Southwest Human Development
 - Reach Out and Read
 - Community Based Literacy
 - Homeward Bound
 - Parent Education & Community Based Training
 - International Rescue Committee
 - Prenatal Outreach
 - Health Insurance Enrollment
 - Maricopa County Department of Public Health
 - Prenatal Outreach
 - Injury Prevention
 - Maricopa Integrated Health System
 - Prenatal Outreach
 - Injury Prevention
 - Association for Supportive Child Care
 - Family Friend and Neighbors
- Central Pima
 - United Way of Tucson and Southern Arizona
 - Home Visitation
- North Phoenix
 - Southwest Human Development
 - Reach Out and Read
 - Community Based Literacy
 - Association for Supportive Child Care
 - Family Friend and Neighbors
 - Children's Action Alliance
 - Health Insurance Enrollment
 - Arizona Board of Regents (for ASU, Chanel 8 PBS)
 - Community Based Literacy
- North Pima
 - United Way of Tucson and Southern Arizona
 - Parent Education & Community Based Training
- Pinal
 - United Way of Pinal County
 - Home Visitation
- San Carlos Apache
 - Save the Children Federation, Inc.
 - Nutrition/Obesity/Physical Activity
- South Pima
 - United Way of Tucson and Southern Arizona
 - Home Visitation
- Southwest Maricopa

- Association for Supportive Child Care
 - Family Friend and Neighbors
- Gila River Indian Community AND South Phoenix (*under one shared contract*)
 - Association for Supportive Child Care
 - Family Friend and Neighbors
- Coconino AND Yuma (*under one shared contract*)
 - Association for Supportive Child Care
 - Family Friend and Neighbors
- Navajo Nation, White Mountain Apache AND Yuma (*under one shared contract*)
 - American Academy of Pediatrics – AZ Foundation
 - Reach Out and Read

FY13 funding plans are impacted by these Rollovers. Unfortunately timing and system requirements do not allow for a traditional sequencing of updates. The updates already made in the system and reflected in Funding Plans (and other reporting) relate to awards. These awards however, really only represent system place holders as actual available funds will not be known till FY12 contracts are closed out. As such, contract budgets have not been loaded so as to prevent any payments being processed in relation to these Rollovers. Once FY12 contracts are closed and actual amounts available are known, budgets will be loaded, FY13 carry forward balances (supporting revenue) updated, allotments increased, and reimbursement requests processed. However, until this happens the regions above will look over-awarded, but this is a temporary circumstance resulting from the ordering of events required for these to be tracked in/by the system appropriately.

When final budgets are input and allotments updated accordingly (bringing in line strategy award totals), the Board will receive another update including the dollar values.